



MORE THAN 100 OF WORLD'S INVESTOR EDUCATION LEADERS GATHER IN MALAYSIA TO FOCUS ON BEST STRATEGIES FOR INVESTOR ENGAGEMENT

Joint IFIE/IOSCO Conference, Co-organized by the Securities Commission of Malaysia, Begins Today With Experts From 30 Countries and Every Continent

KUALA LUMPUR, Malaysia – May 19, 2015 – The International Forum for Investor Education (IFIE) and the International Organization of Securities Commissions (IOSCO) today opened their seventh annual investor education (IE) conference in Kuala Lumpur, Malaysia with a focus on the best strategies for improving investor engagement in order to build financial capability (FC). Concluding Wednesday, the IFIE/IOSCO conference is hosted by the Securities Commission of Malaysia (SC).

The full agenda, including panels, skills workshops and keynote speakers, may be viewed at www.ifie.org/2015conference.

Throughout the conference, speakers, panelists and practitioners hailing from 30 countries will focus on how the varied layers and perspectives in FC/IE can be leveraged to make programming more successful.

Participating experts include:

- IOSCO Secretary General David Wright;
- Ranjit Ajit Singh, Chairman, SC Malaysia;
- IFIE Chair Paul Andrews, Vice President and Managing Director, Financial Industry Regulator Authority (FINRA) International;
- Flore-Anne Messy, Deputy Head, Financial Affairs Division, Organisation for Economic Co-operation and Development (OECD)
- İlhami Koç, Chairman, Turkish Capital Markets Association (TCMA);
- David Kneebone, General Manager, Hong Kong Investor Education Centre; and
- Emsley Tromp, President, Central Bank of Curaçao and Sint Maarten.

Topics on the conference agenda include:

- Skills/professional development workshop on engaging traditional media to help carry financial FC/IE messaging;
- A research roundtable on behavioral economics;
- Breakout sessions to explore key issues, such as programming supports for pre-retirement investors;
- Panel discussions on the most pressing FC/IE concerns in growth and emerging markets;
- How the private sector can become engaged in FC/IE work; and
- The evolving roles for the public sector --regulators, Self-Regulatory Organizations, central banks--in delivering investor education programmes.

IFIE Chair and Vice President and Managing Director of FINRA International Paul Andrews said: **"It is an honor to be part of IFIE, and I am looking forward to the challenges that lie ahead. IFIE has accomplished a great deal in a very short period of time, and working together with partners such as IOSCO, I know we will accomplish great things over the next two years."**

IOSCO Secretary General David Wright said: **"By focusing on the subject of investor engagement, this IFIE-IOSCO conference is debating a key subject for strengthening global capital and securities markets. As financial markets shift increasingly to market-based financing from the**



banking system the needs of the demand side need to be more robustly addressed. More informed, knowledgeable investors, inter alia derived from first class investor education programmes, are essential. I very much welcome the intellectual and regulatory "frontier" debates we are having here in Kuala Lumpur in this conference on these subjects."

Other confirmed conference speakers, panelists and presenters include (in alphabetical order):

- Goban Arasu, Senior Executive Vice President of Strategic Planning & Business Development, Strategy & Transformation, Bursa Malaysia Berhad;
- Peng Chen, CEO, Dimensional Fund Advisors Pte. Ltd.;
- Errol Cova, Head Investment Institutions and Trust Supervision, Central Bank of Curaçao and Sint Maarten;
- Kathryn Edmondson, Executive Director for the Secretariat, IFIE;
- Hariharan Neelakanta Iyer, Chief General Manager, Securities and Exchange Board of India (SEB);
- Michael Herndon, Consumer Outreach Officer, CFTC, U.S.; and Co-Chair, IFIE/IOSCO 2015 Conference Programme Committee;
- Jed Hodgson, Manager of Consumer Partnership, Financial Conduct Authority, United Kingdom;
- Khairul Ridzwan Abdul Kuddus, Deputy General Manager and Head of Investor Affairs and Complaints, SC Malaysia;
- Dato Dr Nik Ramlah Mahmood, Deputy Chief Executive, SC Malaysia (SC);
- Noel Maye, CEO, Financial Planning Standards Board (FPSB), U.S.;
- Fumiaki Miyahara, Senior General Manager, International Affairs Division and Research Division, Japan Securities Dealers Association (JSDA);
- Sujatha Sekhar Naik, CEO, The Securities Industry Dispute Resolution Center (SIDREC), Malaysia
- Ricardo Nardini, Executive Manager, Brazilian Financial and Capital Markets Association (ANBIMA);
- Janete Nel, Marketing Manager, Association for Savings & Investment South Africa (ASISA);
- Dato Steve Ong, CEO, Private Pension Administrator Malaysia (PPA);
- Lori Schock, Director, Office of Investor Education and Advocacy, U.S. SEC; and Co-Chair, IFIE/IOSCO 2015 Conference Programme Committee;
- Myungsoo Sim, Senior Manager, International Affairs Department, Korea Financial Investment Association (KOFIA);
- Dr. Kusumaningtuti S. Soetiono, member, Board of Commissioners, Indonesian Financial Service Authority;
- Robert Stammers, Director of Investor Education, CFA Institute;
- Arlene Stephen, Director, Corporate Communications, Education and Information Division, Trinidad and Tobago Securities and Exchange Commission;
- Gary Tidwell, senior advisor, Education, Training and Regulatory Capacity Building, IOSCO
- Gerri Walsh, President, Investor Education Foundation, FINRA, and senior vice president, Office of Investor Education, FINRA;
- Joanne Yoong, Assistant Professor, National University of Singapore; and Senior Economist, University of Southern California's CESR; and
- Patrick Yu, Senior Vice President and Partner, FleishmanHillard Hong Kong

The 2015 IFIE/IOSCO conference is sponsored by FINRA (United States), Securities Investor Protection Corporation (United States), CFA Institute (United States), ANBIMA (Brazil), TCMA (Turkey), CSI Global Education Inc. (Canada), JSDA (Japan), and the CFTC (United States).

ABOUT THE GROUPS



The International Forum for Investor Education (<http://www.ifie.org>) is a global membership organization committed to strengthening resources and standards for financial capability and investor education within and across jurisdictions through such things as global conferences; webinars; surveys; a resource clearinghouse; and development and distribution of best practices, implementation strategies and leadership support. IFIE also has global regional Chapter networks of members in Asia (IFIE/AFIE), MENA (IFIE/MENA) and the Americas (IFIE/Americas) who work together to develop and implement approaches to regional challenges in financial education, financial capability and investor education.

The International Organization of Securities Commissions (<http://www.iosco.org>), founded in 1983, is the international policy forum for securities regulators and is recognized as the international standard-setter for the financial securities sector. The organization's membership regulates more than 95 percent of the world's securities markets in over 115 jurisdictions. IOSCO members have resolved:

- to cooperate in developing, implementing and promoting adherence to internationally recognised and consistent standards of regulation, oversight and enforcement in order to protect investors, maintain fair, efficient and transparent markets, and seek to address systemic risks;
- to enhance investor protection and promote investor confidence in the integrity of securities markets, through strengthened information exchange and cooperation in enforcement against misconduct and in supervision of markets and market intermediaries; and
- to exchange information at both the global and regional levels on their respective experiences in order to assist the development of markets, strengthen market infrastructure and implement appropriate regulation.

The Securities Commission Malaysia is a statutory body entrusted with the responsibility of regulating and systematically developing Malaysia's capital markets. It has direct responsibility in supervising and monitoring the activities of market institutions and regulating all persons licensed under the Capital Markets and Services Act 2007. The SC aims to promote and maintain fair, efficient, secure and transparent securities and futures markets and to facilitate the overall development of an innovative and competitive capital market. SC Chairman Ranjit Ajit Singh serves also as Chairman of IOSCO's Growth and Emerging Markets Committee, and the SC is a member of the IFIE Advisory Board.

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